



Our Docket No.: 042390.P10138

8/5  
Dwyatt  
7-49-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mitsuhiro Adachi

Application No.: 09/749,088

Filed: December 26, 2000

For: **METHOD AND APPARATUS FOR  
THERMAL THROTTLING OF  
CLOCKS**

Art Group: 2181

Confirmation No. 3262

Technology Center 2100

JUL 17 2001

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**REQUEST FOR CORRECTION OF FILING RECEIPT**

APPLICATION PROCESSING DIVISION  
CUSTOMER CORRECTION BRANCH  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Dear Sir:

We have received a Filing Receipt (copy attached) for the above-identified U.S. Patent Application. Upon our review, we have noticed that the filing date is incorrect.

The receipt indicates:

"02/26/01"

The filing date should be corrected to read as follows:

-- 12/26/00 --

Please correct your records to reflect the correct filing date and send us a corrected filing receipt. If there are any charges, please charge them to Account No. 02-2666. A duplicate copy of our Fee Transmittal is enclosed for this purpose.

Applicants' undersigned attorney may be reached in our Costa Mesa office by telephone at (714) 557-3800. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

William E. Alford  
Reg. No. 37,764

Dated: May 17, 2001

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: May 17, 2001*

Susan McFarlane 5/17/01  
Susan McFarlane Date

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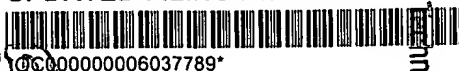
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/749,088	02/26/2001	2181	858	42390P10138	6	21	3

CONFIRMATION NO. 3262

UPDATED FILING RECEIPT

William E. Alford  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
7th Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 05/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mitsuhiro Adachi, San Jose, CA;

## Domestic Priority data as claimed by applicant

## Foreign Applications

## If Required, Foreign Filing License Granted 03/06/2001

## Projected Publication Date: 08/29/2002

## Non-Publication Request: No

## Early Publication Request: No

## Title

Method and apparatus for thermal throttling of clocks

## Preliminary Class

713

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BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
COSTA MESA

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B.S. 12. DATABASE DEPT.

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MAY 15 2001

Data entry by : HERNANDEZ, JESUSA

Team : OIPE

Date: 05/03/2001

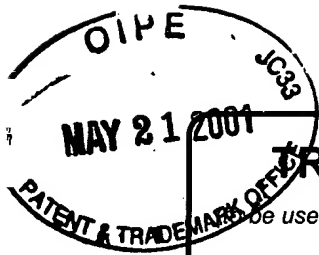


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Receipt



PTO/SB/21 (12/97)  
Approved for use through 9/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>to be used for all correspondence after initial filing)</i>		Application No.	09/749,088
		Filing Date	December 26, 2000
		First Named Inventor	Mitsuhiro Adachi
		Group Art Unit	2181
		Examiner Name	
Total Number of Pages in This Submission	7	Attorney Docket Number	42390P10138

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Request for Correction of Filing Receipt</div>
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	May 17, 2001

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: <b>May 17, 2001</b>			
Typed or printed name	Susan McFarlane		
Signature		Date	May 17, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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MAY 21 2001

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

## Complete if Known

Application No. 09/749,088  
Filing Date December 26, 2000  
First Named Inventor Mitsuhiro Adachi  
Examiner Name  
Group/Art Unit 2181  
Attorney Docket Number 42390P10138

TOTAL AMOUNT OF PAYMENT

(\$)

0

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid

\*or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	260	204	135	Multiple Dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	*Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	*Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	390	216	195	Extension for response within second month
117	890	217	445	Extension for response within third month
118	1,390	218	695	Extension for response within fourth month
128	1,890	228	945	Extension for response within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)

William E. Alford

Registration No.  
(Attorney/Agent)

37,764

Telephone

(714) 557-3800

Signature

*William E. Alford*

Date

05/17/01

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